

## Fiduciary Advisor Comparison Checklist

Attribute	Mackensen & Company, Inc.	Advisor 2	Advisor 3
Investment Advisor Registration	U.S. Securities and Exchange Commission		
Fee-Only: No Commissions; Unbiased Advice	Yes		
Serve client as a Fiduciary; Fiduciary Oath	Yes. We have signed the National Association of Personal Financial Advisors (NAPFA) Fiduciary Oath		
Cash Flow Statement Preparation	Yes		
Net Worth Statement Development	Yes, Required		
Tax Return Review & Preparation	Yes		
Investments Managed with Tax Considerations	Yes		
Investment Review & Recommendations	Yes		
Investment Policy Statement Preparation	Yes, Required		
Insurance Review	Yes		
Retirement Planning Review & Recommendations	Yes		
Estate Planning Review & Recommendations	Yes, Required, Advanced Level		
Education Planning & Recommendations	Yes		
Trust Account Services	Yes. Mackensen & Company is a shareholder of National Advisors Trust Company		

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Quarterly Investment Performance Reports	Yes, with Narrative		
Financial Services Industry Affiliations	Warren and David are NAPFA-Registered Financial Advisors		
Financial Planning Credentials	Warren and David are Certified Financial Planners (CFP); Warren has a Master of Science in Financial Planning		
Continuing Education Requirements: CFP	Warren and David: 60 hours every two years		
Tax Preparation Credentials	David Mayes is an Enrolled Agent (EA)		
Business Credentials	Warren has an MBA; David has an MA in Economics		
Business Experience	Warren: 30 years; David: 8 years		
Financial Planning Experience	Warren: 20 yrs. of comprehensive planning; David: 8 years		
Investment Mgt. Experience	Warren: 20 years; David: 8 years		
Research Sources on Web	<a href="http://www.mackensen.com">www.mackensen.com</a>		
Secure Email	Communicate and provide documents to you securely from our website		
Support Staff	Carol James, Portfolio Accountant Deb Curtis, Office Manager		
Toll-Free Number	800-927-7365		